SEP POLICY COMPLIANCE EVALUATION FORM

California Water Quality Control Board, San Diego Region

PROJECT NAME:

COST OF SEP:

TOTAL PROJECT COST (if different from SEP):

Project Requested by:

Date of Request:

Contact Person(s), phone #, e-mail:

	YES	NO
Project Details		
Does the SEP include the location of project, including description of watershed at project site, latitude & longitude?		
Does the SEP include a brief description of the project and justification of the need?		
Does the SEP contain a detailed project timeline and tasks, including project milestones and an estimated completetion date?		
Does the SEP contain detailed project budget for milestone activities?		
Does the SEP request more than 50% of the proposed ACL?		
If so, has Office of Enforcement approval been granted?		
Does the SEP contain a description of the performance standards, identified measures or indicators of performance?		
Does the SEP contain a description of how performance standards will be measured and monitored?		
Does the SEP contain a reporting plan to the Regional Water Board on Project progress (minimum quarterly)?		
Does the SEP contain documentation of a company or organization to audit implementation of project?		
Is there a plan to continue/maintain the project beyond the SEP-funded period.		

Minimum Qualifying Criteria		
Does the SEP go above and beyond otherwise applicable obligations of the discharger?		
Does the SEP directly benefit/study groundwater or surface water quality or quantity?		
Does the SEP benefit the public?		
Does the SEP fiscally benefit Water Board functions, members, staff, family of staff or involve friends of members, staff or family where apparent conflict of interest could be suggested?		
Does the SEP reduce the probable overall impact of the violation or reduce the likelihood of similar violations in the future?		
Additional Qualifying Criteria		
Does the SEP have documented support by public agencies, groups and affected persons?		
Does the SEP directly benefit the area where the violation occurred or provide a region-wide or statewide benefit?		
Does the SEP include documentation of compliance with the California Environmental Quality Act?		
Does the SEP address additional funding from other sources for continuation?		
Does the project proponent have the stability and capacity to complete the SEP?		
Does the SEP include requirements for monitoring and tracking long term success?		

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	YES	NO
Does the SEP contain a statement that the discharger accepts ultimate responsibility for meeting milestones, standards and indicators and that failure to comply will result in the suspended penalty becoming immediately due and payable to the CAA ?		
Does the SEP acknowledge that any publicity relating to the SEP will prominently state that the project is being undertaken as part of the settlement of a Regional Board action?		
Does the SEP require Regional Board oversight?		
If so, does the discharger agree to pay oversight costs over and above the amount of liability being suspended for the SEP?		
Does the SEP contain a requirement for submittal a final completion report by a specified milestone date, submitted under penalty of perjury?		
Does the SEP contain a requirement for a final accounting of expenditures performed by an independent third-party?		
What is the Water Quality Benefit?		
Monitoring program		
Study or Investigation		
Water or soil treatment		
Habitat restoration or enhancement		
Pollution prevention or reduction		
Wetland, stream or other waterbody protection, restoration or creation		
Conservation easement		
Stream augmentation		
Reclamation		
Watershed assessment		
Watershed management facilitation services		
Compliance training, education and development of educational materials		
Enforcement project, training for environmental compliance and enforcement personnel		
Non-point source program implementation		
Other acceptable project		
FINAL DETERMINATION		
Does the SEP comply with the Enforcement Policy?		